

ALTERNATIVE TO PTO/SB/08/A (04/07)



Substitute for form 1449/PTO

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1 of 3 Attorney Docket Number 578492000510

Complete if Known

| | |
|----------------------|--------------------|
| Application Number | 10/776,682 |
| Filing Date | February 10, 2004 |
| First Named Inventor | Rodolfo A. MORALES |
| Art Unit | 3773 |
| Examiner Name | M. Ryckman |

U.S. PATENT DOCUMENTS

| Examiner Initials* | Cite No. ¹ | Document Number Number-Kind Code* (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
|--------------------|-----------------------|---|--------------------------------|--|---|
| 1. | US-2001/0031979-A1 | 10-18-2001 | Ricci | | |
| 2. | US-2002/0065536-A1 | 05-30-2002 | Hart et al. | | |
| 3. | US-2003/0009196-A1 | 01-09-2003 | Peterson | | |
| 4. | US-2003/0080813-A1 | 03-27-2003 | Loeb et al. | | |
| 5. | US-2003/0105520-A1 | 06-05-2003 | Alferness et al. | | |
| 6. | US-2003/0125739-A1 | 07-03-2003 | Bagga et al. | | |
| 7. | US-2003/0125767-A1 | 07-03-2003 | Collier et al. | | |
| 8. | US-2003/0158581-A1 | 08-21-2003 | Levinson | | |
| 9. | US-2004/0122450-A1 | 06-24-2004 | Oren et al. | | |
| 10. | US-2004/0172046-A1 | 09-02-2004 | Hlavka et al. | | |
| 11. | US-2004/0181238-A1 | 09-16-2004 | Zarbatany et al. | | |
| 12. | US-2004/0204724-A1 | 10-14-2004 | Kissel et al. | | |
| 13. | US-2004/0210238-A1 | 10-21-2004 | Nobles et al. | | |
| 14. | US-2004/0236372-A1 | 11-25-2004 | Anspach, III et al. | | |
| 15. | US-2005/0119673-A1 | 06-02-2005 | Gordon et al. | | |
| 16. | US-2005/0184122-A1 | 08-25-2005 | Hlavka et al. | | |
| 17. | US-2005/0192629-A1 | 09-01-2005 | Saadat et al. | | |
| 18. | US-2005/0197694-A1 | 09-08-2005 | Pal et al. | | |
| 19. | US-2006/0069429-A1 | 03-30-2006 | Spence et al. | | |
| 20. | US-2006/0178682-A1 | 08-10-2006 | Boehke | | |
| 21. | US-2006/0184203-A1 | 08-17-2006 | Martin et al. | | |
| 22. | US-2006/0264975-A1 | 11-23-2006 | Pipenhaben et al. | | |
| 23. | US-2006/0271101-A1 | 11-30-2006 | Saadat et al. | | |
| 24. | US-2006/0287661-A1 | 12-21-2006 | Bolduc et al. | | |
| 25. | US-2007/0005081-A1 | 01-04-2007 | Findlay, III et al. | | |
| 26. | US-2007/0005394-A1 | 01-04-2007 | Bleyendaal et al. | | |
| 27. | US-2007/0010857-A1 | 01-11-2007 | Sugimoto et al. | | |
| 28. | US-2007/0032820-A1 | 02-08-2007 | Chin-Chen et al. | | |
| 29. | US-2007/0038293-A1 | 02-15-2007 | St.Goar et al. | | |
| 30. | US-2007/0049942-A1 | 03-01-2007 | Hindrichs et al. | | |
| 31. | US-2007/0051377-A1 | 03-08-2007 | Douk et al. | | |
| 32. | US-2007/0112424-A1 | 05-17-2007 | Spence et al. | | |
| 33. | US-3,773,034 | 11-20-1973 | Burns et al. | | |
| 34. | US-3,961,419 | 06-08-1976 | Schwartz | | |
| 35. | US-3,976,079 | 08-24-1976 | Samuels et al. | | |
| 36. | US-4,034,473 | 07-12-1977 | May | | |
| 37. | US-4,053,979 | 10-18-1977 | Tuthill et al. | | |
| 38. | US-4,069,825 | 01-24-1978 | Akiyama | | |
| 39. | US-4,384,406 | 05-24-1983 | Tischlinger | | |
| 40. | US-4,494,542 | 01-22-1985 | Lee | | |
| 41. | US-4,726,371 | 02-23-1988 | Gibbens | | |
| 42. | US-4,758,221 | 07-19-1988 | Jureidini | | |
| 43. | US-4,845,851 | 07-11-1989 | Warthen | | |
| 44. | US-4,848,341 | 07-18-1989 | Ahmad | | |
| 45. | US-4,850,354 | 07-25-1989 | McGurk-Burleson et al. | | |
| 46. | US-4,969,893 | 11-13-1990 | Swor | | |
| 47. | US-5,053,047 | 10-01-1991 | Yoon | | |

| | | |
|--------------------|--------------------------------|-----------------|
| Examiner Signature | /Melissa Ryckman/ (07/22/2008) | Date Considered |
|--------------------|--------------------------------|-----------------|

pa-A123684 REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MR/

ALTERNATIVE TO PTO/SB/08A/B (04/07)

| | | | | |
|--|---|----|----------------------|------------------------|
| Substitute for form 1449/PTO | | | Complete if Known | |
| | | | Application Number | 10/776,682 |
| | | | Filing Date | February 10, 2004 |
| | | | First Named Inventor | Rodolfo A. MORALES |
| | | | Art Unit | 3773 |
| | | | Examiner Name | M. Ryckman |
| Sheet | 2 | of | 3 | Attorney Docket Number |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary) | | | | |

| | | | | |
|-----|-----------------|------------|------------------|--|
| 48. | US-5,084,058-A | 01-28-1992 | Li | |
| 49. | US-5,133,723-A | 07-28-1992 | Li et al. | |
| 50. | US-5,221,255-A | 06-22-1993 | Mahurkar et al. | |
| 51. | US-5,346,500-A | 09-13-1994 | Suchart | |
| 52. | US-5,358,479-A | 10-25-1994 | Wilson | |
| 53. | US-5,364,407-A | 11-15-1994 | Poll | |
| 54. | US-5,383,905-A | 01-24-1995 | Golds et al. | |
| 55. | US-5,417,700-A | 05-23-1995 | Egan | |
| 56. | US-5,423,837-A | 06-13-1995 | Mercle et al. | |
| 57. | US-5,437,680-A | 08-01-1995 | Yoon | |
| 58. | US-5,439,470-A | 08-08-1995 | Li | |
| 59. | US-5,452,513-A | 09-26-1995 | Zinnbauer et al. | |
| 60. | US-5,474,572-A | 12-12-1995 | Hayhurst | |
| 61. | US-5,545,168-A | 08-13-1996 | Burke | |
| 62. | US-5,565,122-A | 10-15-1996 | Zinnbauer et al. | |
| 63. | US-5,626,614-A | 05-06-1997 | Hart | |
| 64. | US-5,630,824-A | 05-20-1997 | Hart | |
| 65. | US-5,669,917-A | 09-23-1997 | Sauer et al. | |
| 66. | US-5,690,655-A | 11-25-1997 | Hart et al. | |
| 67. | US-5,741,260-A | 04-21-1998 | Songer et al. | |
| 68. | US-5,741,301-A | 04-21-1998 | Pagedas | |
| 69. | US-5,752,564-A | 05-19-1998 | Mercle | |
| 70. | US-5,755,730-A | 05-26-1998 | Swain et al. | |
| 71. | US-5,810,848-A | 09-22-1998 | Hayhurst | |
| 72. | US-5,810,853-A | 09-22-1998 | Yoon | |
| 73. | US-5,860,993-A | 01-19-1999 | Thompson et al. | |
| 74. | US-5,879,371-A | 03-09-1999 | Gardiner et al. | |
| 75. | US-5,902,321-A | 05-11-1999 | Caspari et al. | |
| 76. | US-5,919,208-A | 07-06-1999 | Valenti | |
| 77. | US-6,015,428-A | 01-18-2000 | Pagedas | |
| 78. | US-6,066,160-A | 05-23-2000 | Colvin et al. | |
| 79. | US-6,228,096-B1 | 05-08-2001 | Marchand | |
| 80. | US-6,254,620-B1 | 07-03-2001 | Koh et al. | |
| 81. | US-6,258,118-B1 | 07-10-2001 | Baum et al. | |
| 82. | US-6,378,289-B1 | 04-30-2002 | Trudeau et al. | |
| 83. | US-6,432,123-B1 | 08-13-2002 | Schwartz et al. | |
| 84. | US-6,648,903-B1 | 11-18-2003 | Pierson, III | |
| 85. | US-6,669,687-B1 | 12-30-2003 | Saadat | |
| 86. | US-6,699,263-B2 | 03-02-2004 | Cope | |
| 87. | US-6,716,243-B1 | 04-06-2004 | Colvin et al. | |
| 88. | US-6,733,509-B2 | 05-11-2004 | Nobles et al. | |
| 89. | US-6,997,931-B2 | 02-14-2006 | Sauer et al. | |
| 90. | US-7,037,334-B1 | 05-02-2006 | Hlavka et al. | |
| 91. | US-7,048,754-B2 | 05-23-2006 | Martin et al. | |
| 92. | US-7,101,395-B2 | 09-05-2006 | Tremulis et al. | |
| 93. | US-7,166,127-B2 | 01-23-2007 | Spences et al. | |
| 94. | US-7,186,262-B2 | 03-06-2007 | Saadat | |
| 95. | US-7,235,086-B2 | 06-26-2007 | Sauer et al. | |
| 96. | US-7,241,310-B2 | 07-10-2007 | Taylor et al. | |

| | | |
|--------------------|--------------------------------|-----------------|
| Examiner Signature | /Melissa Ryckman/ (07/22/2008) | Date Considered |
|--------------------|--------------------------------|-----------------|

pa 1203654

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MR/

ALTERNATIVE TO PTO/SB/08A/B (04/07)

| | | | | | |
|------------------------------|---|----|---|------------------------|--------------------|
| Substitute for form 1449/PTO | | | | Complete if Known | |
| | | | | Application Number | 10/776,682 |
| | | | | Filing Date | February 10, 2004 |
| | | | | First Named Inventor | Rodolfo A. MORALES |
| | | | | Art Unit | 3773 |
| | | | | Examiner Name | M. Ryckman |
| Sheet | 3 | of | 3 | Attorney Docket Number | 578492000510 |

| FOREIGN PATENT DOCUMENTS | | | | | |
|--------------------------|---------------------------|--|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Foreign Patent Document Country Code* -Number* -Kind Code* (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear T ² |
| 97. | WO-01/54618-A1 | | 08-02-2001 | Mitralife | |
| 98. | WO-02/085251-A1 | | 10-31-2002 | DHC Systems, Inc. | |
| 99. | WO-02/085252-A1 | | 10-31-2002 | DHC Systems, Inc. | |
| 100. | WO-03/088875-A1 | | 10-30-2003 | Coalescent Surgical, Inc. | |
| 101. | WO-2004/037317-A2, A3 | | 05-06-2004 | DHC Systems, Inc. | |
| 102. | WO-2004/082523-A2, A3 | | 09-30-2004 | Edwards Lifesciences Corporation | |
| 103. | WO-2004/082538-A2, A3 | | 09-30-2004 | St. Jude Medical, Inc. | |
| 104. | WO-2005/062931-A2, A3 | | 07-14-2005 | Mitralign, Inc. | |
| 105. | WO-2005/102181-A1 | | 11-03-2005 | Aptus Endosystems, Inc. | |
| 106. | WO-2006/034243-A2, A3 | | 03-30-2006 | Deleo Limited | |
| 107. | WO-2006/037073-A2 | | 04-06-2006 | Evalve, Inc. | |
| 108. | WO-2006/097931-A2, A3 | | 09-21-2006 | Valtech Cardio, Ltd. | |
| 109. | WO-2006/116558-A2, A3, C2 | | 11-02-2006 | Evalve, Inc. | |
| 110. | WO-2007/005495-A1 | | 01-11-2007 | Edwards Lifesciences Corporation | |
| 111. | WO-2007/100409-A2 | | 09-07-2007 | Georgia Tech Research Corporation | |

*EXAMINER: Initial if information considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. *Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). *For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. *Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ³Applicant is to place a check mark here if English language Translation is attached.

| NON PATENT LITERATURE DOCUMENTS | | | | | |
|---------------------------------|-----------------------|---|--|--|----------------|
| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | | | | | T ² |

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹Applicant's unique citation designation number (optional). ²Applicant is to place a check mark here if English language Translation is attached.

| | | |
|----------------------------------|--------------------------------|-----------------|
| Examiner Signature pa-1203664 | /Melissa Ryckman/ (07/22/2006) | Date Considered |
|----------------------------------|--------------------------------|-----------------|

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /MR/